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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/723,075

11/25/2003

Rangachary Mukundan

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EXAMINER

VATHYAM, SUREKHA

ART UNIT

PAPER NUMBER

1795

MAIL DATE

DELIVERY MODE

10/24/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

**Office Action Summary**

Application No.

10/723,075

Applicant(s)

MUKUNDAN ET AL.

Examiner

Surekha Vathyam

Art Unit

1795

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 21 September 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 1 – 3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Muller et al. (US 4,277,323) in view of Carberry et al. (US 5,028,404).

Regarding claim 1, Muller ('323) discloses a gas sensor (10) comprising: an electrolyte body (32) having a first electrolyte surface (see fig. 2) with a reference electrode (30, 31) depending therefrom; a metal electrode body (30, 31) contained within the electrolyte body and having a first electrode surface coplanar with the first electrolyte surface (see fig. 2), wherein the electrolyte body and the metal electrode body have intimate contact there between (column 3, lines 41 – column 4, lines 23). Muller ('323) discloses the electrode to be a Pt or Au electrode (column 3, lines 50 – 54 and column 5, lines 7 – 12) but does not explicitly disclose the electrode to be a metal oxide.

Carberry ('404) teaches metal oxide as a viable alternative to noble metal catalysts including platinum in oxidation and hydrogenation reactions in combustion chamber exhaust gases (see abstract and column 1, line 58 – column 2, line 7).

Carberry ('404) also teaches the metal oxide used as pellets, film or coatings on conventional refractory substances such as solid electrolytes (column 3, lines 5 – 13) and sintering them to very high temperatures (column 3, lines 18 – 26).

It would have been obvious to one of ordinary skill in the art to have substituted the metal electrode of Muller ('323) with the metal oxide of Carberry ('404) because as Carberry ('404) explains the metal oxide has high specific activity, superior resistance to sintering and is immune to sulfur poisoning which makes it an ideal substitute for noble metal catalysts (column 1, line 65 – column 2, line 7).

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Regarding claim 2, Carberry ('404) teaches the metal oxide to be  $\text{La}_{1-x}\text{A}_x\text{CrO}_3$ , where A is selected from the group consisting of Sr, Ca and Mg, and  $0 \leq x \leq 0.5$  (column 1, lines 58 – 62 and column 2, lines 18 – 29).

Regarding claim 3, Carberry ('404) teaches A is Sr and  $x=0.2$  (see tables 1 and 2, column 4, lines 27 – 33).

5. Claim 4 rejected under 35 U.S.C. 103(a) as being unpatentable over Muller et al. (US 4,277,323) in view of Carberry et al. (US 5,028,404) as applied to claim 1 above, and further in view of Mase et al. (US 4,755,274).

Regarding claim 4, Muller ('323) in view of Carberry ('404) discloses the electrolyte body is stabilized zirconia (column 3, lines 65 – 67) but does not expressly disclose the stabilizer to be yttria and does not expressly disclose the porosity of the electrolyte body.

Mase ('274) teaches a sensor comprising a yttria stabilized zirconia electrolyte body (108) (column 9, lines 53 – 56) with a desired porosity for gas diffusion and measurement produced by sintering (column 13, lines 6 – 13).

It would have been obvious to one of ordinary skill in the art to stabilize the zirconia electrolyte body of Muller ('323) with yttria as taught by Mase ('274) and to produce a desired porosity of the electrolyte body by sintering as taught by Mase ('274) because as Mase ('274) explains the porosity of the electrolyte body is selected

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according to the required level of diffusion resistance and is a parameter to be optimized (column 13, lines 1 – 6).

6. Claims 1 – 3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Garzon et al. (US 5,543,025) in view of Carberry et al. (US 5,028,404).

Regarding claim 1, Garzon ('025) discloses a gas sensor (30) comprising: an electrolyte body (32) having a first electrolyte surface with a reference electrode (42) depending therefrom (see fig. 2); a metal (column 3, lines 7 – 8 and lines 23 – 24) electrode body (38) contained within the electrolyte body and having a first electrode surface coplanar with the first electrolyte surface (see fig. 2), wherein the electrolyte body and the metal electrode body have intimate contact there between (see fig. 2 and column 2, lines 20 – 25, column 3, lines 15 – 37). Garzon ('025) discloses a mixed conductor (34) made of metal oxide (column 3, lines 18 – 23) contained within the solid electrolyte body and coplanar with a first surface of the electrolyte body (see fig. 2 and column 3, lines 26 – 37) but does not explicitly disclose the mixed conductor to be an electrode. Garzon ('025) further discloses the electrode (38) to be made of a metal such as platinum (column 3, lines 7 – 8 and lines 23 – 24), but does not explicitly disclose the electrode being made of a metal oxide.

Carberry ('404) teaches metal oxide as a viable alternative to noble metal catalysts including platinum in oxidation and hydrogenation reactions in combustion chamber exhaust gases (see abstract and column 1, line 58 – column 2, line 7).

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Carberry ('404) also teaches the metal oxide used as pellets, film or coatings on conventional refractory substances such as solid electrolytes (column 3, lines 5 – 13) and sintering them to very high temperatures (column 3, lines 18 – 26).

It would have been obvious to one of ordinary skill in the art to have substituted the metal electrode of Muller Garzon ('025) with the metal oxide of Carberry ('404) because as Carberry ('404) explains the metal oxide has high specific activity, superior resistance to sintering and is immune to sulfur poisoning which makes it an ideal substitute for noble metal catalysts (column 1, line 65 – column 2, line 7).

Regarding claim 2, Carberry ('404) teaches the metal oxide to be  $\text{La}_{1-x}\text{A}_x\text{CrO}_3$ , where A is selected from the group consisting of Sr, Ca and Mg, and  $0 \leq x \leq 0.5$  (column 1, lines 58 – 62 and column 2, lines 18 – 29).

Regarding claim 3, Carberry ('404) teaches A is Sr and  $x=0.2$  (see tables 1 and 2, column 4, lines 27 – 33).

7. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Garzon et al. (US 5,543,025) in view of Carberry et al. (US 5,028,404) as applied to claim 1 above, and further in view of Mase et al. (US 4,755,274).

Regarding claim 4, Garzon ('025) in view of Carberry ('404) discloses the electrolyte body is yttria stabilized zirconia (column 3, lines 15 – 18) but does not expressly disclose the porosity of the electrolyte body.

Mase ('274) teaches a sensor comprising a yttria stabilized zirconia electrolyte body (108) (column 9, lines 53 – 56) with a desired porosity for gas diffusion and measurement produced by sintering (column 13, lines 6 – 13).

It would have been obvious to one of ordinary skill in the art to produce a desired porosity of the electrolyte body by sintering as taught by Mase ('274) because as Mase ('274) explains the porosity of the electrolyte body is selected according to the required level of diffusion resistance and is a parameter to be optimized (column 13, lines 1 – 6).

### ***Response to Arguments***

8. While applicant argues that “there must be some suggestion or motivation”, the Supreme Court held in *KSR v. Teleflex* 82 USPQ2d 1385, 1396 “The obviousness analysis cannot be confined by a formalistic conception of the words teaching, suggestion, and motivation”. Furthermore, even written before *KSR*, MPEP 2144.06 reads “An express suggestion to substitute one equivalent component or process for another is not necessary to render such substitution obvious. *In re Fout*, 675 F.2d 297, 213 USPQ 532 (CCPA)”. Nonetheless, Carberry ('404) provides an express teaching to substitute the metal oxides for noble metals (column 2, lines 4 – 5) and that the metal oxides provide the advantages of superior sintering and good sulfur resistance (see column 1, line 42 to column 2 line 5).
9. Applicant argues that “The present invention detects *differences* in the electrochemical reaction rates occurring on these electrodes” (emphasis in original).



However, the claims do not require any step of detecting a difference or of detecting a reaction rate and aren't even method claims. Applicant is prosecuting the apparatus invention in this application.

10. The 3-phase interface applicant repeatedly mentions is not a required element of the claimed structure.

11. Applicant's statement that a porous electrolyte body is not suitable, contradicts applicant's claiming of a porous electrolyte body in claim 4.

12. Contrary to applicant's remarks, as clearly depicted in Fig.2 of Garzon ('025) the mixed conductor 34 is coplanar with the electrolyte 32.

### ***Conclusion***

13. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

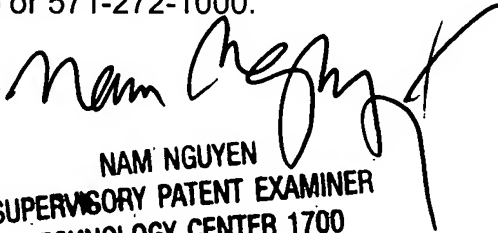
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Surekha Vathyam whose telephone number is 571-272-2682. The examiner can normally be reached on 7:30 AM to 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nam X. Nguyen can be reached on 571-272-1342. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/SV/  
19 October 2007

  
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